

## CLAIMS

1. An optical system for extracting signal light components from a beam including the signal light components and  
5 stray light components, the optical system comprising:

a condensing optical element situated on an optical path of the beam for condensing the beam;

a polarization changing unit for changing the state of polarization of at least one of the signal light components  
10 and the stray light components included in the incident beam transmitted through the condensing optical element; and

an extracting element for extracting the signal light components included in the beam transmitted through the polarization changing unit.

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2. The optical system as claimed in claim 1,  
wherein the polarization changing unit includes first and second polarization changing elements;

wherein the first and second polarization changing  
20 elements each include first and second areas that are divided by a line perpendicularly intersecting with the optical axis of the condensing optical element;

wherein the first polarization changing element is positioned between a first focus point and a second focus point  
25 that is situated closer to the condensing optical element than

the first focus point;

wherein the first focus point is a position at which the signal light components are condensed, and the second focus point is a position at which the stray light components are

5 condensed;

wherein the second polarization changing element is positioned between the first focus point and a third focus point that is situated closer to the extracting element than the first focus point, the third focus point being another position at which  
10 the stray light components are condensed.

3. The optical system as claimed in claim 2,

wherein the first polarization changing element has an optical characteristic of changing the polarization of the beam  
15 incident on at least one of the first area and the second area of the first polarization changing element;

wherein the second polarization changing element has a same optical characteristic as the optical characteristic of the first polarization changing element.

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4. The optical system as claimed in claim 3, wherein the polarization changing unit is configured to change the state of polarization of at least one of the signal light components and the stray light components included in the incident beam by  
25 providing a phase difference to the incident beam;

wherein the total of the phase difference provided to the incident beam at the first area of the first polarization changing element and the phase difference provided to the incident beam at the second area of the second polarization changing element  
5 is at least one of 0 wavelength and  
1/2 wavelength.

5. The optical system as claimed in claim 4, wherein the first polarization changing element provides a phase change  
10 of +1/4 wavelength to the incident beam at the first area of the first polarization changing element and provides a phase difference of -1/4 wavelength to the incident beam at the second area of the first polarization changing element.

15 6. The optical system as claimed in claim 4, wherein the first polarization changing element provides a phase change of +1/2 wavelength to the incident beam at the first area of the first polarization changing element and provides no phase difference to the incident beam at the second area of the first  
20 polarization changing element.

7. The optical system as claimed in claim 3, wherein the polarization changing unit is configured to change the state of polarization of at least one of the signal light components  
25 and the stray light components included in the incident beam by

rotating the polarization direction of the incident beam,

wherein the first polarization changing element rotates the polarization direction of the incident beam at the first area of the first polarization changing element to an angle  
5 of +45 degrees and rotates the polarization direction of the incident beam at the second area of the first polarization changing element to an angle of -45 degrees.

8. The optical system as claimed in claim 1,

10 wherein the polarization changing unit includes first and second polarization changing elements;

wherein the first and second polarization changing elements each include first and second areas that are divided by a line perpendicularly intersecting with the optical axis of the  
15 condensing optical element;

wherein the first and second areas have different optical characteristics;

wherein the first polarization changing element is positioned between a first focus point and a second focus point  
20 that is situated closer to the condensing optical element than the first focus point;

wherein the first focus point is a position at which the signal light components are condensed, and the second focus point is a position at which the stray light components are  
25 condensed;

wherein the second polarization changing element is positioned between the first focus point and a third focus point that is situated closer to the extracting element than the first focus point, the third focus point being another position at which the stray light components are condensed.

9. The optical system as claimed in claim 8, wherein the first polarization changing element has an optical characteristic of changing the polarization of the beam incident on at least one of the first area and the second area of the first polarization changing element;

wherein the second polarization changing element has a same optical characteristic as the optical characteristic of the first polarization changing element.

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10. The optical system as claimed in claim 9, wherein the polarization changing unit is configured to change the state of polarization of at least one of the signal light components and the stray light components included in the incident beam by providing a phase difference to the incident beam,

wherein the total of the phase difference provided to the incident beam at the first area of the first polarization changing element and the phase difference provided to the incident beam at the second area of the second polarization changing element is 0 wavelength or

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1/2 wavelength.

11. The optical system as claimed in claim 10,  
wherein the first polarization changing element provides a phase  
5 change of  $+1/4$  wavelength to the incident beam at the first area  
of the first polarization changing element and provides a phase  
difference of  $-1/4$  wavelength to the incident beam at the second  
area of the first polarization changing element.

10 12. The optical system as claimed in claim 10,  
wherein the first polarization changing element provides a phase  
change of  $+1/2$  wavelength to the incident beam at the first area  
of the first polarization changing element and provides no phase  
difference to the incident beam at the second area of the first  
15 polarization changing element.

13. The optical system as claimed in claim 8, wherein  
the polarization changing unit is configured to change the state  
of polarization of at least one of the signal light components  
20 and the stray light components included in the incident beam by  
rotating the polarization direction of the incident beam,

wherein the total of the rotation angle of the  
polarization direction of the incident beam at the first area of  
the first polarization changing element and the rotation angle  
25 of the polarization direction of the incident beam at the second

area of the second polarization changing element is +90 degrees or -90 degrees.

14. The optical system as claimed in claim 13,  
5 wherein the first polarization changing element rotates the polarization direction of the incident beam at the first area of the first polarization changing element to an angle of +45 degrees and rotates the polarization direction of the incident beam at the second area of the first polarization changing element to an  
10 angle of -45 degrees.

15. The optical system as claimed in claim 2, wherein the first and second polarization changing elements are formed as a united body via a transparent member having a refractive index  
15 greater than 1.

16. The optical system as claimed in claim 2, wherein the first polarization changing element, the second polarization changing element, and the extracting element are formed as a united  
20 body via a transparent member having a refractive index greater than 1.

17. The optical system as claimed in claim 2, wherein the first and second polarization changing elements are inclined  
25 with respect to the optical axis of the condensing optical element.

18. The optical system as claimed in claim 2, wherein the first polarization changing element, the second polarization changing element, and the extracting element are each situated  
5 on a plane of corresponding prisms.

19. The optical system as claimed in claim 18, wherein the corresponding prisms are formed as a united body.

10 20. An optical system for extracting signal light components from a beam including the signal light components and stray light components, the optical system comprising:

a condensing optical element situated on an optical path of the beam for condensing the beam;

15 a polarization changing unit including a combination of a polarization changing element and a reflecting part for changing the state of polarization of at least one of the signal light components and the stray light components included in the incident beam transmitted through the condensing optical element;

20 and

an extracting element for extracting the signal light components included in the beam transmitted through the polarization changing unit.



21. The optical system as claimed in claim 20,  
wherein the polarization changing element includes  
first and second areas that are divided by a line perpendicularly  
5 intersecting with the optical axis of the condensing optical  
element;

wherein the polarization changing element is  
positioned between a first focus point and a second focus point  
that is situated closer to the condensing optical element than  
10 the first focus point;

wherein the first focus point is a position at which  
the signal light components are condensed, and the second focus  
point is a position at which the stray light components are  
condensed;

15 wherein the reflecting part is positioned at the first  
focus point.

22. The optical system as claimed in claim 21,  
wherein the polarization changing element has an  
20 optical characteristic of changing the polarization of the beam  
incident on at least one of the first area and the second area  
of the polarization changing element;

wherein the reflecting part has an optical  
characteristic of reflecting the beam from the first area of the  
25 polarization changing element to the second area of the

polarization changing element.

23. The optical system as claimed in claim 21,  
wherein the polarization changing element provides a phase change  
5 of  $+1/2$  wavelength to the incident beam at the first area of the  
polarization changing element and provides no phase difference  
to the incident beam at the second area of the polarization  
changing element.

10 24. The optical system as claimed in claim 21,  
wherein the polarization changing element and the reflecting part  
are formed as a united body via a transparent member having a  
refractive index greater than 1.

15 25. The optical system as claimed in claim 21,  
further comprising a transparent member positioned between the  
first focus point and the second focus point, wherein the  
transparent member has a refractive index greater than 1.

20 26. An optical pickup apparatus comprising:  
a light source for irradiating a beam;  
an optical system including  
an objective lens for condensing the beam to  
a target recording layer of an optical disk having a plurality  
25 of recording layers, and

the optical system as claimed in claim 2; and  
an optical detecting system for generating signals  
in accordance with the amount of light of the extracted signal  
light components.

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27. The optical pickup apparatus as claimed in claim  
26, further comprising: a separating optical element positioned  
between the condensing optical element and the first polarization  
changing element;

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wherein the separating optical element is inclined  
45 degrees with respect to the optical axis of the condensing lens;

wherein the beam from the light source is incident  
on the condensing optical element via the separating optical  
element;

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wherein the beam from the condensing optical element  
is incident on the objective lens.

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28. The optical pickup apparatus as claimed in  
claim 26, wherein the dividing line for each of the first and second  
polarization changing elements extends in a direction  
corresponding to the tracking direction.

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29. An optical pickup apparatus comprising:  
a light source for irradiating a beam;  
an optical system including

an objective lens for condensing the beam to a target recording layer of an optical disk having a plurality of recording layers;

the optical system as claimed in claim 20; and  
5 an optical detecting system for generating signals in accordance with the amount of light of the extracted signal light components.

30. The optical pickup apparatus as claimed in claim  
10 29, wherein the extracting element is a beam splitter situated on an optical path between the light source and the objective lens, wherein the condensing optical element is a coupling lens situated on an optical path between the beam splitter and the objective lens.

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31. The optical pickup apparatus as claimed in claim 29, wherein the dividing line for the polarization changing element extends in a direction corresponding to the tracking direction.

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32. An optical disk apparatus comprising:  
the optical pickup apparatus as claimed in claim 26;  
and

a processing apparatus for reading out information  
25 recorded in the optical disk in accordance with the signals

generated by the optical detecting system.

33. An optical disk apparatus comprising:

the optical pickup apparatus as claimed in claim 29;

5 and

a processing apparatus for reading out information recorded in the optical disk in accordance with the signals generated by the optical detecting system.

10 34. An optical system for extracting signal light components from a beam including the signal light components and stray light components, the optical system comprising:

a condensing optical element situated on an optical path of the beam for condensing the beam, the condensing optical  
15 element condensing the signal light components at a first focus point and the stray light components at a second focus point;

a first polarization changing element positioned between the condensing optical element and the second focus point that is situated closer to the condensing optical element than  
20 the first focus point, the first polarization changing element including first and second areas that are divided by a line perpendicularly intersecting with the optical axis of the condensing optical element, the first polarization changing element having an optical characteristic of changing the  
25 polarization direction of the beam incident on the first area to

an angle of 90 degrees;

a first separating element positioned between the first and second focus points, the first separating element being operable to reflect or absorb the stray light components condensed  
5 more toward the condensing optical element than the first focus point;

a second separating element positioned between the first focus point and a third focus point at which the stray light components transmitted through first separating element are  
10 condensed, the second separating element being operable to reflect or absorb the stray light components transmitted through the first separating element; and

a second polarization changing element including first and second areas that are divided by a line perpendicularly  
15 intersecting with the optical axis of the condensing optical element, the second polarization changing element having an optical characteristic of changing the polarization direction of the beam incident on at least one of the first area and the second area of the second polarization changing element to an angle of  
20 90 degrees.

35. The optical system as claimed in claim 34, wherein the first polarization changing element provides a phase change of  $1/2$  wavelength to the incident beam at the first area  
25 of the first polarization changing element and provides no phase

difference to the incident beam at the second area of the first polarization changing element.

36. The optical system as claimed in claim 34,  
5 wherein the first and second separating elements are formed as a united body via a transparent member having a refractive index greater than 1.

37. The optical system as claimed in claim 34,  
10 further comprising: a transparent member positioned between the second focus point and the third focus point, the transparent member having a refractive index greater than 1.

38. The optical system as claimed in claim 34,  
15 wherein the first polarization changing element, the first separating element, the second separating element, and the second polarization changing element are formed as a united body via a transparent member having a refractive index greater than 1.

20 39. The optical system as claimed in claim 34, wherein the first and second separating elements are inclined with respect to the optical axis of the condensing optical element.

40. The optical system as claimed in claim 34,  
25 wherein the first polarization changing element is situated on

a plane of a first prism, wherein the first separating element is situated on a plane of a second prism, wherein the second separating element is situated on a plane of a third prism, wherein the second polarization changing element is situated on a plane of a fourth prism.

41. The optical system as claimed in claim 40, wherein the first to fourth prisms are formed as a united body.

10 42. An optical pickup apparatus comprising:  
a light source for irradiating a beam;  
an optical system including  
an objective lens for condensing the beam to  
a target recording layer of an optical disk having a plurality  
15 of recording layers, and  
the optical system as claimed in claim 34; and  
an optical detecting system for generating signals  
in accordance with the amount of light of the extracted signal  
light components.

20 43. The optical pickup apparatus as claimed in claim 42, wherein the dividing line for each of the first and second polarization changing elements extends in a direction corresponding to the tracking direction.



44. An optical disk apparatus comprising:

the optical pickup apparatus as claimed in claim 42;

and

a processing apparatus for reading out information

5 recorded in the optical disk in accordance with the signals  
generated by the optical detecting system.

45. An optical pickup apparatus provided with a

light source, a collimator lens, a detector and separating part,

10 an objective lens, an optical detecting system, and an optical  
detector for recording and reading-out information to and from  
an optical disk having a plurality of layers, the optical pickup  
apparatus comprising:

a condensing optical element for condensing a beam  
15 reflected from the plural layers of the optical disk, the beam  
including a signal light beam  $L_m$  that is reflected from an  $m^{\text{th}}$  layer  
of the plural layers, a first stray light beam  $L_{m+1}$  that is  
reflected from a  $m+1^{\text{th}}$  layer of the plural layers, and a second  
stray light beam  $L_{m-1}$  that is reflected from a  $m-1^{\text{th}}$  layer of the  
20 plural layers, the signal light beam  $L_m$  being condensed at a first  
focus point  $f_m$ , the first stray light beam  $L_{m+1}$  being condensed  
at a second focus point  $f_{m+1}$ , and the second stray light beam  $L_{m-1}$   
being condensed at a third focus point  $f_{m-1}$ ;

a front shielding part positioned between the first  
25 focus point  $f_m$  and the second focus point  $f_{m+1}$  for shielding the

beam oriented to a first area; and

a rear shielding part positioned between the first focus point  $f_m$  and the third focus point  $f_{m-1}$  for shielding the beam oriented to a second area;

5 wherein the first and second areas are divided by an optical axis of the condensing optical element.

46. An optical pickup apparatus provided with a light source, a collimator lens, a detector and separating part,  
10 an objective lens, an optical detecting system, and an optical detector for recording and reading-out information to and from an optical disk having a plurality of layers, the optical pickup apparatus comprising:

a condensing optical element for condensing a beam  
15 reflected from the plural layers of the optical disk, the beam including a signal light beam  $L_m$  that is reflected from an  $m^{\text{th}}$  layer of the plural layers, a first stray light beam  $L_{m+1}$  that is reflected from a  $m+1^{\text{th}}$  layer of the plural layers, and a second stray light beam  $L_{m-1}$  that is reflected from a  $m-1^{\text{th}}$  layer of the  
20 plural layers, the signal light beam  $L_m$  being condensed at a first focus point  $f_m$ , the first stray light beam  $L_{m+1}$  being condensed at a second focus point  $f_{m+1}$ , and the second stray light beam  $L_{m-1}$  being condensed at a third focus point  $f_{m-1}$ ;

a beam splitting part positioned closer to the  
25 condenser part than the second focus point  $f_{m+1}$  for splitting the

beam into first and second areas divided by an optical axis of the condensing optical element;

a front shielding part positioned between the first focus point  $f_m$  and the second focus point  $f_{m+1}$  on the side of the first area for shielding the first stray light beam  $L_{m+1}$ ; and

a rear shielding part positioned between the first focus point  $f_m$  and the third focus point  $f_{m-1}$  on the side of the second area for shielding the second stray light beam  $L_{m-1}$ .

47. An optical pickup apparatus provided with a light source, a collimator lens, a detector and separating part, an objective lens, an optical detecting system, and an optical detector for recording and reading out information to and from an optical disk having a plurality of layers, the optical pickup apparatus comprising:

a condensing optical element for condensing a beam reflected from the plural layers of the optical disk, the beam including a signal light beam  $L_m$  that is reflected from an  $m^{\text{th}}$  layer of the plural layers, a first stray light beam  $L_{m+1}$  that is reflected from a  $m+1^{\text{th}}$  layer of the plural layers, and a second stray light beam  $L_{m-1}$  that is reflected from a  $m-1^{\text{th}}$  layer of the plural layers, the signal light beam  $L_m$  being condensed at a first focus point  $f_m$ , the first stray light beam  $L_{m+1}$  being condensed at a second focus point  $f_{m+1}$ , and the second stray light beam  $L_{m-1}$  being condensed at a third focus point  $f_{m-1}$ ;

a beam splitting part positioned between the first focus point  $f_m$  and the second focus point  $f_{m+1}$  for splitting the beam into first and second areas divided by an optical axis of the condensing optical element; and

5 a shielding part positioned between the first focus point  $f_m$  and the third focus point  $f_{m-1}$  for shielding the first stray light beam  $L_{m+1}$  and the second stray light beam  $L_{m-1}$ .

48. The optical pickup apparatus as claimed in claim  
10 47, wherein the beam splitting part includes a pair of optical wedges in which the thinner sides of the optical wedges are matched so that the optical wedges are symmetric to each other with respect to the optical axis of the condensing optical element.

15 49. The optical pickup apparatus as claimed in claim 47, wherein the beam splitting part includes a pair of optical wedges in which the thicker sides of the optical wedges are matched so that the optical wedges are symmetric to each other with respect to the optical axis of the condensing optical element.

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50. The optical pickup apparatus as claimed in claim 48, wherein the beam splitting part and the shielding part are formed as a united body.

25 51. The optical pickup apparatus as claimed in claim

49, wherein the beam splitting part and the shielding part are formed as a united body.

52. The optical pickup apparatus as claimed in claim  
5 47, wherein the beam splitting part includes a diffraction grating for providing different diffraction with respect to the first and second areas.

53. The optical pickup apparatus as claimed in  
10 claim 52, wherein the diffraction grating is configured to diffract the beam so that the diffracted beam is inverted.

54. The optical pickup apparatus as claimed in claim  
15 53, wherein the diffraction grating and the shielding part are formed as a united body.

55. The optical pickup apparatus as claimed in claim  
52, wherein the light source is situated at the focus point fm if the diffraction grating is not provided, wherein the light  
20 source irradiates a linearly polarized light in a direction that cannot be diffracted by the diffraction grating.

56. The optical pickup apparatus as claimed in claim  
52, wherein the diffraction grating and the shielding part are  
25 formed as a united body.

57. The optical pickup apparatus as claimed in claim 52, wherein the diffraction grating, the shielding part, the light source, and the optical detector are formed as a united body.

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58. The optical pickup apparatus as claimed in claim 45, further comprising: another condensing optical element provided in front of the optical detector, wherein the optical detector includes a part that is divided into two parts by a line  
10 parallel to the tracking direction.

59. The optical pickup apparatus as claimed in claim 45, wherein the optical detector includes a part that is divided by a line perpendicularly intersecting with the tracking  
15 direction.

60. The optical pickup apparatus as claimed in claim 46, further comprising: another condensing optical element provided in front of the optical detector with respect to a portion  
20 of the beam split by the beam splitting part, wherein the signal light beam condensed by the other condensing optical element is detected by the optical detecting part that includes a part divided into two parts by a line parallel to the tracking direction.

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61. The optical pickup apparatus as claimed in claim

46, wherein in a case where no other condensing optical element is provided in front of the optical detecting part, the optical detecting part for detecting a portion of the beam split by the beam splitting part includes a part divided into two parts by a  
5 line parallel to the tracking direction.

62. The optical pickup apparatus as claimed in claim 46, further comprising: another condenser part provided in front of the optical detector for detecting a portion of the beam split  
10 by the beam splitting part via the other condenser part; and  
another optical detector for detecting the another portion of the bundle of light split by the beam splitting part signal light.

15 63. An optical recording apparatus comprising:  
the optical pickup apparatus as claimed in claim 45.

64. An optical reproduction apparatus comprising:  
the optical pickup apparatus as claimed in claim 45.

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65. An optical recording and reproduction apparatus comprising:  
the optical pickup apparatus as claimed in claim 45.